

COUNTY OF UNION
STATE OF NEW JERSEY
PROFESSIONAL SERVICES SOLICITATION
FAIR & OPEN PUBLIC SOLICITATION PROCESS

PROFESSIONAL SERVICE: BOROUGH ATTORNEY

SUBMISSION DATE: DECEMBER 8, 2016 at 11:00 A.M.

PUBLIC NOTICE TO PROFESSIONAL SERVICES ENTITIES

INFORMATION FOR PROFESSIONAL SERVICES ENTITIES

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE (EXHIBIT A)

BUSINESS REGISTRATION OF CONTRACTORS WITH GOVERNMENTAL AGENCIES

STANDARDIZED SUBMISSION REQUIREMENTS CHECK LIST

SUBMISSION DOCUMENTS

PUBLIC NOTICE FOR THE SOLICITATION OF PROFESSIONAL SERVICE CONTRACTS FOR THE PERIOD OF JANUARY 1, 2017 THROUGH DECEMBER 31, 2017

NOTICE IS HEREBY GIVEN that sealed submissions will be received by the Borough Clerk, or designated representative, for the Borough of Roselle Park, County of Union, State of New Jersey on December 8, 2016, 11:00 A.M. prevailing time, in the Council Chambers, Borough of Roselle Park, 110 E. Westfield Avenue, Roselle Park, N.J. 07204, then publicly opened and read aloud for the following: Borough Attorney

Standardized submission requirements and selection criteria are on file and available in the Municipal Clerk's Office.

Submission packages may be obtained at the Borough Clerk's Office, (908) 245-6222 during regular business hours, 8:30AM to 4:30PM, Monday through Friday, excluding holidays.

All professional service contractors are required to comply with the requirements of N.J.S.A. 52:32-44 (Business Registration of Public Contractors), N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. (Contract Compliance and Equal Employment Opportunities in Public Contracts).

Submissions by Corporations and Partnerships shall include a completed Disclosure of Ownership form (N.J.S.A. 52:2524.2) and shall include a completed Non-Collusion Affidavit.

The Borough Council reserves the right to reject any or all submissions due to any defects or waive informalities and accept any submissions that in their judgment will be in the best interest of the Borough. The Borough Council shall award the contract or reject all submissions no later than 60 days from receipt of same.

CONTRACT APPOINTMENTS SHALL BE FROM JANUARY 1, 2017 THROUGH DECEMBER 31, 2017

The following is a description of the professional services needed, including, where appropriate, a description of tasks involved:

Borough Attorney / Primary Duties

The Borough Attorney shall be an attorney at law of New Jersey, but need not be a resident of the Borough. With the prior approval of the Council, the Attorney shall have such powers and perform such duties as are provided for by the office of Borough Attorney by general law or ordinances of the Borough. The Attorney shall In furtherance of such general powers and duties, but without limitation thereto, shall:

- prosecute or defend any and all suits or actions, whether in law, equity or administrative proceedings, to
 which the Borough may be a party or in which it may be interested, or proceedings in which any officer of
 the Borough in the capacity of such office may be a party.
- attend all regular and special meetings of the Mayor and Council when requested to do so.
- attend to the completion of all assessment and condemnation proceedings.
- represent the Borough in all judicial and administrative proceedings in which the Borough or any of its officers or agencies may be a party or have an interest.
- Serve as the legal advisor/counsel, render opinions in writing and give advice on all legal questions of law submitted to the Attorney by the Borough Clerk/Chief Administrative Officer, Mayor and Council, or any member thereof, affecting the municipality
- Draft, or supervise the phraseology of any contract, deed lease or other legal document or instrument to which the Borough may be a party,
- draft ordinances covering such subjects as may be within the power of the municipality,
- approve as to form and sufficiency all legal documents, contracts, deeds, ordinances and resolutions made, executed or adopted by or on behalf of the Borough
- With the approval of Council, conduct appeals from orders, decisions or judgments affecting any interest
 of the Borough as the Attorney may in his/her discretion determine to be necessary or desirable, or as
 directed by Council.
- Subject to the approval of the Council, have the power to enter into any agreement, compromise or settlement of any litigation in which the Borough is involved.
- Supervise and direct the work of such additional attorneys and technical professional assistants as the Council may authorize for special or regular employment in or for the Borough
- Have such other different functions, powers and duties as may be provided by Charter, general law or ordinance.

Non Primary Duties

- Perform all services other than those rendered in connection with the following matters:
- Foreclosure of tax title liens
- Defense or prosecution of tax appeals
- Services as a labor-management negotiator
- Services in the preparation of a municipal bond issue
- Such other unusual or specialized projects requiring legal services beyond the ordinance scope of the Attorney's duties, provided the performance of these services and the additional compensation therefore are agreed upon by the Mayor and Council.
- For the services described in this section the Attorney shall receive additional compensation authorized by the Mayor and Council

1B.1 RECEIPT AND OPENING OF SUBMISSIONS

1B.1.1 OWNER AND PROJECT

The Borough of Roselle Park, Roselle Park, New Jersey (hereinafter called the "OWNER") invites submissions for the service(s) mentioned in the Public Notice for Solicitation.

1 B.1.2 TIME AND PLACE OF SUBMISSION OPENINGS

Borough Clerk and/or her designated representative will receive submissions at the time and place mentioned in the Public Notice for Solicitation, and at such time and place will be publicly opened and read aloud.

1 B.1.3 SUBMISSIONS NOT IN COMPLIANCE

The OWNER may waive any informality or reject any and/or all submissions, in accordance with the Fair and Open Public Solicitation Process for Professional Service(s) pursuant to Borough of Roselle Park

1B.1.4 WITHDRAWING SUBMISSIONS

Submissions forwarded to the Borough Clerk and/or her designated representative before the time of opening of submissions may be withdrawn upon written application of the professional services entity who shall be required to produce evidence showing that they are or they represents the principal or principals involved in the submission. Submissions may <u>not</u> be withdrawn within twenty-four (24) hours of the stipulated time of opening of submissions. Once submissions have been opened, they must remain firm for a period of sixty (60) days.

1B.2 QUALIFICATIONS OF PROFESSIONAL SERVICES ENTITIES

1B.2.1 INDIVIDUALS PERFORMING TASKS

Name and roles of the individuals who will perform the tasks and descriptions of their education and experience similar to the services contained herein.

1 B.2.2 REFERENCES

References and record of success of same or similar service.

1 B.2.3 DESCRIPTION OF ABILITIES

Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff).

1 B.2.4 COST DETAILS

If applicable, cost details including the hourly rates of each of the individuals who will be performing services, and all expenses.

1 B.2.5 TECHNICAL PROCESS AND EQUIPMENT

Description of technical process and equipment used in performing the task(s).

1B.3 PREPARATION OF SUBMISSIONS

1 B.3.1 COMPLETION OF SUBMISSIONS

Each submission must be provided on a Standardized Submission Form as supplied in the submission package, and signed by the professional services entity or principal thereof and shall contain the name, address, and telephone number of the professional Services entity. All prices and amounts must be written in ink or preferably typewritten. Each signatory to the submission must initial all erasures or corrections. Each submission shall be contained in a sealed envelope addressed to the Borough of Roselle Park, 110 E. Westfield Avenue, Roselle Park, N.J. 07204 or in the preprinted envelope supplied with the submission package when available, and said envelope shall specify the Appointment No. and Title for which the submission is provided. The submissions is to be clearly marked "Sealed Submission Enclosed" and must be delivered at the place and time required or mailed so as to be received prior to the opening time set in the advertisement. Submissions received after the hour herein named or in unsealed envelopes shall not be considered.

The Owner will not be responsible for submissions forwarded through the U.S. Mail or any delivery service if lost in transit at any time before submission opening, or if hand-delivered to incorrect location.

The submission shall be accompanied by (1) a Non-Collusion Affidavit, (2) a Disclosure of Ownership Form, (3) an Insurance Requirement Acknowledgement Form, (4) a Mandatory Equal Employment Opportunity Notice Acknowledgement, (5) a copy of the applicable Business Registration Certificate, (6) a Professional Services Entity Information Form, (7) a Qualifications Submission, and (8) an Acknowledgement of Corrections, Additions or Deletions Form.

All forms listed above, (#1 through #8) shall be completed in their entirety.

1 B.3.2 ERRORS IN SUBMISSIONS

If applicable, in the event there is a discrepancy between the unit prices and the extended totals, the unit prices shall govern or if between the correct sum of the extended totals and the total submission submitted, the correct sum shall govern. Amounts written in words shall govern over the amounts written in numerals.

1 B.4 TIME FOR AWARD OF CONTRACT

The contracting unit shall award the contract or reject all submissions within such time as may be specified in the invitation for submission, but in no case more than 60 days, except that the submissions of any professional services entities who consent thereto may, at the request of the contracting unit, be held for consideration for such longer period as may be agreed.

The award of the Contract for this service will not be made unless the Borough's Chief Financial Officer has certified the necessary funds in a lawful manner.

1B.5 MODIFICATIONS OF SUBMISSIONS

Any professional services entity may modify his submission by mail, courier or hand delivery at any time prior to the scheduled closing time for receipt of submissions. The OWNER, prior to the closing time, must receive such communication. The communication should not reveal the submission price but should Provide the addition to or subtraction from or other modification so that the OWNER will not know the final price(s) or term(s) until the sealed submission is opened.

1B.6 REJECTION OF SUBMISSIONS

1B.6.1 MULTIPLE SUBMISSIONS NOT ALLOWED

More than one submission from an individual, a firm or partnership, a corporation or association of principals under the same or different names shall not be considered.

1 B.6.2 UNBALANCED SUBMISSIONS

Submissions, which are obviously unbalanced, may be rejected at the option of the OWNER.

1 B.6.3 RIGHT TO REJECT SUBMISSIONS

The right is reserved to reject any or all submissions in whole or in part if not in compliance with the standardized submission requirements.

1B.6.4 METHOD OF AWARD OF SUBMISSIONS

The right is reserved by the Borough of Roselle Park to award submissions on a "service by service" basis, "per project" basis, in part or in whole as determined by the Owner.

1 B.6.5 RIGHT TO WAIVE INFORMALITIES RESERVED

The owner expressly reserves the right to waive any informality in any submission, and to accept the submission, which in the OWNER'S judgment serves its best interests.

1 B.7 PROFESSIONAL SERVICES ENTITY REFERRED TO LAWS

The attention of the professional services entity is especially directed to the provisions of Federal, State, County and Local Government statutes and regulations that may apply to the work.

1B.8 PAYMENT

Checks are processed by the Borough of Roselle Park's Finance Department approximately on the 15th and 30th day of each month. It is necessary that the approved signed vouchers be accompanied by an invoice and be submitted in advance of these dates.

1 B.9 TRANSITIONAL PERIOD

In the event that a new contract has not been awarded prior to the contract expiration date, it shall be incumbent upon the professional services entity to continue the contract under the same terms and conditions until a new contract(s) can be completely operational. At no time shall this transition period extend more than ninety (90) days beyond the expiration date of the contract.

1B.10 FACSIMILE DOCUMENTS PROVIDED IN A SUBMISSION

Under no circumstances, on submission documents requiring authorized signatures, will the OWNER accept documents provided through facsimile machines.

1B.11 CONTRACT COMPLIANCE AND EQUAL EMPLOYMENT OPPORTUNITY IN PUBLIC CONTRACTS

Professional services entities are required to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

16.12 GENERAL REQUIREMENTS/INFORMATION

The professional services entity shall guarantee any or all material and services supplied under these specifications. Defective or inferior items shall be replaced at the expense of the professional services

entity.

It is understood by the professional services entity that this submission is provided on the basis of standardized submission requirements prepared by BOROUGH OF ROSELLE PARK and the fact that any professional services entity is not familiar with these standardized submission requirements or conditions will not be accepted as an excuse.

NO MINIMUM PAYMENT IS IMPLIED OR GUARANTEED.

BOROUGH OF ROSELLE PARK reserves the right to cancel any contract entered into upon thirty (30) days written notice.

Contract Term: Pursuant to N.J.S.A. 40A:11-3(b), ... "contracts for professional services pursuant to subparagraph (i) of paragraph (a) subsection (1) of section 5 of P .L. 1971, c.198 (N.J.SA 40A:11-5) may be awarded for a period not exceeding twelve (12) consecutive months".

This solicitation is for a one (1) year contract for services, from January 1, 2017 through December 31, 2017.

EXHIBIT A

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, or sex. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this non-discrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional sexual orientation or sex.

The contractor or subcontractor, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to attempt in good faith to employ minority and female workers consistent with the applicable county employment goals established in accordance with N.J.A.C. 17:27-5.2, or a binding determination of the applicable county employment goals determined by the Division, pursuant to N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect Discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with applicable employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affect ional or sexual orientation, or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

Employee Information Report Form AA302

The contractor and its subcontractor shall furnish such reports or other documents to the Division of Contract Compliance & EEO as may be requested by the Division from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.

ATTENTION ALL PROFESSIONAL SERVICE ENTITIES

On June 29, 2004, Governor McGreevey signed P.L. 2004, c.57, Business Registration of Contractors with Government Agencies, into law. Effective September 1, 2004, all business organizations that do business with a local contracting agency (i.e. Borough of Roselle Park) are required to be registered with the State of New Jersey, Department of Treasury, Division of Revenue, and provide proof of that registration to the contracting agency before the contracting agency may enter into a contract with the business.

A "Business Organization" means an individual, partnership, association, joint stock company, trust, corporation or other legal business entity or successor thereof.

The law provides that: A copy of the Business Registration Certificate issued by the NJ Department of Treasury, Division of Revenue, shall be provided at the time any submission is received; *failure* to do so is a *fatal defect that cannot be cured*. This law covers construction as well as <u>non-construction</u> submissions.

Further information may be obtained by visiting the following web site at the State of New Jersey: www.ni.oov/treasurv/revenue/busreocert.htm

Goods & Services Contracts (including purchase orders):

N.J.S.A. 52:32-44 imposes the following requirements on contractors and all subcontractors that knowingly provide goods or perform services for a contractor fulfilling this contract:

- 1) The contractor shall provide written notice to its subcontractors and suppliers to submit proof of business registration to the contractor;
- 2) Prior to receipt of final payment from a contracting agency, a contractor must submit to the contracting agency an accurate list of all subcontractors or attest that none were used;
- During the term of this contract, the contractor and its affiliates shall collect and remit, and shall notify all subcontractors and their affiliates, that they must collect and remit to the Director, New Jersey Division of Taxation, the use tax due pursuant to the Sales and Use Tax Act, (N.J.S.A. 54:32B-1 et seq.) on all sales of tangible personal property delivered into this State.

A contractor, subcontractor or supplier who fails to provide proof of business registration or provides false business registration information shall be liable to a penalty of \$25 for each day of violation, not to exceed \$50,000 for each business registration not properly provided or maintained under a contract with a contracting agency.

AMERICANS WITH DISABILITIES ACT OF 1990 EQUAL OPPORTUNITY FOR INDIVIDUALS WITH DISABILITY

The CONTRACTOR and the BOROUGH OF ROSELLE PARK (herein referred to as the Borough) do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S12101 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs and activities provided or made available by public entities, and the rules and regulations promulgated pursuant thereunto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the BOROUGH pursuant to this contract, the CONTRACTOR agrees that the performance shall be in strict compliance with the Act. In the event the CONTRACTOR, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the CONTRACTOR shall defend the BOROUGH in any action or administrative proceeding commenced pursuant to this Act. The CONTRACTOR shall indemnify, protect, and save harmless the BOROUGH, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The CONTRACTOR shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the BOROUGH'S grievance procedure, the CONTRACTOR agrees to abide by any decision of the BOROUGH'S which is rendered pursuant to, said grievance procedure. If any action or administrative proceeding results in an award of damages against the BOROUGH or if the BOROUGH incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the CONTRACTOR shall satisfy and discharge the same at its own expense.

The BOROUGH shall, as soon as practicable after a claim has been made against it, give written notice thereof to the CONTRACTOR along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the BOROUGH or any of its agents, servants, and employees, the BOROUGH shall expeditiously forward or have forwarded to the CONTRACTOR every demand, complaint, notice, summons, pleading, or other process received by the BOROUGH or its representatives.

It is expressly agreed and understood that any approval by the BOROUGH of the services provided by the CONTRACTOR pursuant to this contract will not relieve the CONTRACTOR of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the BOROUGH pursuant to this paragraph.

It is further agreed and understood that the BOROUGH assumes no obligation to indemnify or save harmless the CONTRACTOR, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the CONTRACTOR expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the CONTRACTOR'S obligations assumed in this Agreement, nor shall they be construed to relieve the CONTRACTOR from any liability, nor preclude the BOROUGH from taking any other actions available to it under any other provisions of this Agreement or otherwise at law.

STANDARDIZED SUBMISSION REQUIREMENTS & SELECTION CRITERIA (FAIR & OPEN PUBLIC SOLICITA NON PROCESS FOR PROFESSIONAL SERVICES)

The Borough of Roselle Park is seeking sealed submissions in response to a Public Notice for the Solicitation of a Professional Service Contracts.

The standardized submission requirements shall include:

- Names and roles of the individuals who will perform the services/tasks and descriptions of their experience with projects similar to the services contained herein including their education, degrees and certifications.
- 2. References and record of success of same or similar service.
- 3. Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff).
- Cost details, including the hourly rates of each of the individuals who will perform services and time estimates for each individual, all expenses and total cost of "not to exceed" amount.

- Qualifications of the individuals who will perform the services/tasks and the amounts of their respective participation.
- 2. Experience and references.
- 3. Ability to perform the services/tasks in a timely fashion, including staffing and familiarity with the subject matter.
- 4. Cost consideration including, but not limited to, historical costs for similar professional services, expertise involved and comparable costs for comparable public entities.
- 5. Admission to the New Jersey Bar for a minimum of ten (10) years
- 6. Multi-disciplinary law practice in the area of municipal matters for a minimum of ten (10) years
- 7. Experience in appearing before the Office of Administrative Law and the State Superior Court
- 8. Has demonstrable experience in the representation of public bodies, including but not limited to, Counties, Municipalities and the State of New Jersey

Please Note this Additional Requirement:

Professional services entities shall submit one (1) original and one electronic set of their sealed submission, on **December 8, 2016 at 11:00 A.M.**. Email address dcali@rosellepark.net

BOROUGH OF ROSELLE PARK $\underline{\text{CHECKLIST}}$

PROFESSIONAL SERVICE TITLE: Township Attorney

SUBMISSION DATE: December 8, 2016 at 11:00 A.M.

The following items, as indicated below (X), shall be provided with the receipt of sealed subm	issions:
1. Non-Collusion Affidavit	X_
2. Disclosure of Ownership Form	X
3. Insurance Requirement Acknowledgement Form	X
4. Mandatory Equal Employment Opportunity Notice Acknowledgement	X_
5. Copy of your <i>Business Registration Certificate</i> as issued by the State of New Jersey, Department of Treasury, Division of Revenue	X_
6. Professional Service Entity Information Form	X
7. Qualifications Submission	X _
8. Acknowledgement of Corrections, Additions or Deletions Form	X _

NON-COLLUSION AFFIDAVIT

STATE OF NEW JERSEY	
: SS.	
I, of	of the
on my oath depose and say that:	and the State of New Jersey, of full age, being duly sworn according to law
of the firm of	
submission with full authority to do so; agreements, participated in any collust connection with the above named Service and correct, and made with full knowledge.	g the submission for the above named Service, and that I executed the said that said Professional Service Entity has not, directly or indirectly, entered into any sion, or otherwise taken any action in restraint of fair and open competition in ce; and that all statements contained in said submission and in this affidavit are true ledge that the Borough of Roselle Park relies upon the truth of the statements statements contained in this affidavit in awarding the contract for said Service.
	ng agency has been employed or retained to solicit or secure such contract upon an nmission, percentage, brokerage or contingent fee, except bonafide employees or g agencies maintained by:
Name of Professional Service Ent	ity
Subscribed and sworn to before me	
this day of	_ , 20_
Notary Public, State of	(Signature of Professional)
My Commission expires	

DISCLOSURE OF OWNERSHIP FORM

N.J.S.A. 52:25-24.2 reads in part that "no corporation or partnership shall be awarded any contract by the State, County, Municipality or School District, or any subsidiary or agency thereof, unless prior to the receipt of the submission of the corporation or partnership, there is provided to the public contracting unit a statement setting forth the names and addresses of all individual who own 10% or more of the stock or interest in the corporation or partnership".

- 1. If the professional service entity is a *partnership*, then the statement shall set forth the names and addresses of all partners who own a 10% or greater interest in the partnership.
- 2. If the professional service entity is a *corporation*, then the statement shall set forth the names and addresses of all stockholders in the corporation who own 10% or more of its stock of any class.
- 3. If a corporation owns all or part of the stock of the corporation or partnership providing the submission, then the statement shall include a list of the stockholders who own 10% or more of the stock of any class of <u>that</u> corporation.
- 4. If the professional service entity is other than a corporation or partnership, the contractor shall indicate the form of corporate ownership as listed below.

COMPLETE ONE OF THE FOLLOWING STATEMENTS:

NAME:	ADDRESS:
SIGNATURE:	DATE:
. No Stockholder or Partner owns 10% or more	
SIGNATURE:	DATE:
I. Submission is being provided by an individu	ual who operates as a sole proprietorship:
SIGNATURE:	DATE:
V. Submission is being provided by a corporat	tion or partnership that operates as a (check one of the following):
Limited Partnership	Limited Liability Corporation
Limited Liability Partnership	Subchapter S Corporation
SIGNATURE:	DATE:

INSURANCE REQUIREMENTS AND ACKNOWLEDGEMENT FORM

Certificate(s) of Insurance shall be filed with the Borough Clerk's Office upon award of contract by the Borough Council.
The minimum amount of insurance to be carried by the Professional Service Entity shall be as follows:
PROFESSIONAL LIABILITY INSURANCE
Limits shall be a minimum of \$1,000,000.00 for each claim and \$1,000,000.00 aggregate each policy period.
Acknowledgement of Insurance Requirement:
(Signature) (Date)

(Printed Name and Title)

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY NOTICE (N.J.S.A.

10:5-31 et seg. and N.J.A.C. 17:27 et seg.)

GOODS PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

This form is a summary of the successful professional service entity's requirement to comply with the requirements of **N.J.S.A. 10:5-31 et seq.** and **N.J.A.C. 17:27 et seq.**

The successful professional service entity shall submit to the Borough of Roselle Park, after notification of award but prior to execution of this contract, one of the following three documents as forms of evidence:

(a) A photocopy of a valid letter that the vendor is operating under an existing federally approved or Sanctioned affirmative action program (good for one year from the date of the letter);

OF

(b) A photocopy of a Certificate of Employee Information Report approval, issued in accordance with N.J.A.C. 17:27-1.1 et seq.;

OR

(c) A photocopy of an Employee Information Report (Form AA302) provided by the Division of Contract Compliance and distributed to the Borough of Roselle Park to be completed by the vendor in accordance with N.J.A.C. 17:27-1.1 et seq.

The successful professional service entity may obtain the Employee Information Report (AA302) from the Borough of Roselle Park during normal business hours.

The successful professional service entities must submit the white and canary copies of the AA302 (Employee Information Report) to the Division of Contract Compliance and Equal Employment Opportunity in Public Contracts (Division). The pink *Public Agency* copy is submitted to the Borough of Roselle Park, and the gold *Vendor* copy is retained by the professional service entity.

The undersigned professional service entity certifies that he/she is aware of the commitment to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. and agrees to furnish the required forms of evidence.

The undersigned professional service entity further understands that his/her submission shall be rejected as non-responsive if said professional service entity fails to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

COMPANY:		
SIGNATURE:	PRINT NAME:	
TITLE:	DATE:	

PROFESSIONAL SERVICE ENTITY INFORMATION FORM

If the Professional Service Entity is an *INDIVIDUAL*, sign name and give the following information:

Name:		
Address:		
Telephone No.:	Social Security No.:	
Fax No.:	E-Mail:	
If individual has a TRADE NAME,	give such trade name:	
Trading As:	Telephone No.:	
If the Professional Service Entity	is a <i>PARTNERSHIP</i> , give the following information:	
Name of Partners:		
Firm Name:		
Address:		
	Federal ID. No.:	
Fax No.:	E-Mail:	
Social Security No.:		
Signature of authorized agent:		
If the Professional Service Entity is	s INCORPORATED, give the following information:	
State under whose laws incorpora	ited:	
Location of principal office:		
Telephone No.:	Federal ID. No.:	 .
Fax No.:	E-Mail:	
Name of agent in charge of said	office upon whom notice may be legally served:	
Telephone No.:	Name of Corporation:	
Signature:	By:	
Title	Addroom	

SUBMISSION FORM

1.	Names and roles of the individuals who will perform the services and description of their education and experience with projects similar to the services contained herein including their education, degrees and certifications:
2.1	References and record of success of same or similar service:

3.	Description of ability to provide the serv location of key staff):	rices in a timely fashion (including staffing, familiarity and
4.		or primary duties and the hourly rates of each of the and all expenses for any work that is not included in the
	lote: Attach additional sheets as necessary.	Date:
Αι	authorized Representative (Print):	
Si	Signature:Title:	
Te	elephone #:	Fax #:

3.

ACKNOWLEDGEMENT OF CORRECTIONS. ADDITIONS AND DELETIONS FORM

the firm	
reby acknowledge that any	corrections, additions and/or deletions
ave been initialed and dated	in this Submission Package.
	(Signature)
	(Type or Print name of affiant and Title, under signature)
	(Type S. T. M. Land S. aman and T. M. Grands) digitality
	(Date)

End of Submission Package

****** DO NO	T OPEN***	*******
IMPORTANT-SEALED	SUBMISSION	ENCLOSED
PROFESSIONAL'S NAM	E & ADDRESS:	

TO: BOROUGH OF ROSELLE PARK BOROUGH CLERK 110 E. WESTFIELD AVENUE ROSELLE PARK, NJ 07204

Title as it appears on Professional Services Solicitation:

CERTIFICATION REGARDING CONTRIBUTIONS

I,, of the firm of
, in the County of
and State of New Jersey, of full age, being sworn according
to the law on my oath depose and say that:
I am the
of the firm of
the Professional Service Entity making the submissions for the above named Service, and that I executed the said submission with full authority to do so; that said Professional Service Entity acknowledges that it is aware that the Borough of Roselle Park pursuant to Ordinance 2-4 prohibits the awarding of any public contract to any Professional Service Entity that has contributed in excess of two hundred (\$200.00) dollars to a campaign committee of any Borough of Roselle Park candidate or holder of the public office having ultimate responsibility for the award of the contract, or to any Borough of Roselle Park or Union County Party Committee, or to any political action committee (PAC) that is organized for the primary purpose of promoting or supporting Borough of Roselle Park municipal candidates or municipal officeholders, within one (1) calendar year immediately preceding the date of the contract or
agreement. I further warrant that pursuant to Roselle Park Borough Ordinance 2-4, a "professional service provider" seeking a public contract means: an individual, including the individual's spouse, if any, and any child living at home; person; firm; corporation; professional corporation; partnership; organization; or association. The definition of a service provider includes all principals who own one (1%) percent or more of the equity in the corporation or business trust, partners, and officers in the aggregate employed by the provider as well as any subsidiaries directly controlled by the service
provider. I further warrant that I have reviewed Borough Ordinance 2-4. I hereby certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment for contempt of Court.
Subscribed and sworn before me this day of

2-4 AWARDING OF PUBLIC PROFESSIONAL SERVICE CONTRACTS.

2-4.1 Prohibition on Awarding Public Contracts to Certain Contributors.

- a. Any other provision of law to the contrary notwithstanding, the Borough or any of its purchasing agents or agencies or those of its independent authorities, as the case may be, shall not enter into an agreement or otherwise contract to procure services, including banking services/relationships or insurance coverage services, from any professional service provider, if that provider has solicited or made any contribution of money, or pledge of a contribution, including in-kind contributions in excess of two hundred (\$200.00) dollars to a campaign committee of any Borough of Roselle Park candidate or holder of the public office having ultimate responsibility for the award of the contract, or to any Borough of Roselle Park or Union County Party Committee, or to any political action committee (PAC) that is organized for the primary purpose of promoting or supporting Borough of Roselle Park municipal candidates or municipal officeholders, within one (1) calendar year immediately preceding the date of the contract or agreement.
- b. No professional service provider which enters into negotiations for, or agrees to, any contract or agreement with the Borough or any department or agency thereof or of its independent authorities for the rendition of professional, banking or insurance coverage services or any other no-bid consultants shall knowingly solicit or make any contribution of money, or pledge of a contribution, including in-kind contributions in excess of two hundred (\$200.00) dollars, to any Borough of Roselle Park candidate or holder of the public office within the Borough having ultimate responsibility for the award of the contract, or to any Borough of Roselle Park or Union County Party Committee, or to any PAC that is organized for the primary purpose of promoting or supporting Borough of Roselle Park municipal candidates or municipal officeholders between the time of first communications between that service provider and the Borough regarding a specific professional services agreement and the later of the termination of negotiations or the completion of the contract or agreement.
- c. For purposes of this section, a "professional service provider" seeking a public contract means: an individual, including the individual's spouse, if any, and any child living at home; person; firm; corporation; professional corporation; partnership; organization; or association. The definition of a service provider includes all principals who own one (1%) percent or more of the equity in the corporation or business trust, partners, and officers in the aggregate employed by the provider as well as any subsidiaries directly controlled by the service provider.
- d. For purposes of this section, the office that is considered to have ultimate responsibility for the award of the contract shall be:
 - 1. The Borough of Roselle Park Council, if the contract requires approval or appropriation from the Council or a public officer who is responsible for the award of a contract if that public officer is appointed by Council; or
 - 2. The Mayor of the Borough of Roselle Park, if the contract requires the approval of the Mayor or a public officer who is responsible for the award of a contract if that public officer is appointed by the Mayor; or
 - 3. Both number one and two. (Ord. No. 2395)

2-4.2 Contributions Made Prior to the Effective Date.

No contribution of money or any other thing of value, including in-kind contributions in excess of two hundred (\$200.00) dollars, made by a professional service provider to any Borough of Roselle Park candidate for Mayor or Council, or municipal or County party committee or PAC referenced in this section shall be deemed a violation of this section, nor shall an agreement for property, goods, or services, of any kind whatsoever in excess of two hundred (\$200.00) dollars, be disqualified thereby, if that contribution or agreement was made by the professional service provider prior to the effective date of this section. (This section was adopted November 7, 2013) (Ord. No. 2395)

2-4.3 Contribution Statement by Professional Service Provider.

- a. Prior to awarding any contract or agreement to procure services, including banking or insurance coverage services, with any professional service provider, the Borough or any of its purchasing agents or agencies, as the case may be, shall receive a sworn statement from the professional service provider made under penalty of perjury that the bidder or offeror has not made a contribution in excess of two hundred (\$200.00) dollars in violation of Section 2-4 hereof;
 - b. The professional service provider shall have a continuing duty to report any

PLEASE CUT OUT THE ABOVE LABEL AND USE ON THE ENVELOPE FOR YOUR SEAL SUBMISSION.

violations of this section that may occur during the negotiation or duration of a contract. The certification required under this subsection shall be made prior to entry into the contract or agreement with the Borough and shall be in addition to any other certifications that may be required by any other provisions of law. (Ord. No. 2395)

2-4.4 Violations and Penalties.

- a. All Borough of Roselle Park professional service agreements shall provide that it shall be a breach of the terms of the government contract for a professional service provider, as defined in Section 2-4, to violate Section 2-4 or to knowingly conceal or misrepresent contributions given or received, or to make or solicit contributions through intermediaries or any Union County Party Committee for the purpose of concealing or misrepresenting the source of the contribution.
- b. Any professional service provider, as defined in Section 2-4, who knowingly fails to reveal a contribution made in violation of this section, or who knowingly makes or solicits contributions through an intermediary, including but not limited to a Union County Party Committee, for the purpose of concealing or misrepresenting the source of the contribution, shall be disqualified from eligibility for future Borough of Roselle Park contracts for a period of four (4) calendar years from the date of the violation.
- c. Any person violating any of the provisions of this section shall, upon conviction thereof, be liable to the penalty stated in Chapter I, Section 1-5. (Ord. No. 2395)